RECEIVED CENTRAL FAX CENTER

NOV. 3. 2004 12: 32PM

UNIVATION LAW DEPT

NO. 7653

Univation Technologies, LLC

NOV 0 3 2004 5555 San Felipe, 19th Floor, Houston, Texas 77056 United States of America

Univation TECHNOLOGIES

Date: Wednesday, November 3, 2004 Number of pages including cover sheet:

| | Commissioner for Patents |
|------------|-----------------------------|
| | P.O. Box 1450 |
| | Alexandria, VA 22313-1450 |
| Attn: | |
| Telephone: | (703) 308-4357 |
| Facsimile | (703) 872- 9 306 |

| FROM: KI | evin M. Faulkner | | | |
|-----------------------------|----------------------------------|--|--|--|
| | Univation Technologies, LLC | | | |
| FROM: K Telephone Facsimile | 5555 San Felipe Road, Suite 1950 | | | |
| | Houston, Texas 77056 | | | |
| Telephone | (713) 892-3729 | | | |
| Facsimile | (713) 892-3687 | | | |

| R | EMARKS: | Urgent | ⊠ For your | review 🔲 | Reply ASAP | | Please comment | | |
|--|---|--------|-------------------|----------|------------|--|----------------|--|--|
| RE: | RE: U.S.S.N.: 10/762,494 Filing Date: 01/22/2004 Attorney Docket No.: 2003U002.US | | | | | | | | |
| CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence, consisting of a Supplemental Information Disclosure Statement and a 1449 are being facsimile transmitted to the United States Patent and Trademark Office, to facsimile number (703) 872-9306, on Wednesday, November 03, 2004. Consisting of a Supplemental Information Disclosure Statement and a 1449 are being facsimile transmitted to the United States Patent and Trademark Office, to facsimile number (703) 872-9306, on Wednesday, November 03, 2004. Consisting of a Supplemental Information Disclosure Statement and a 1449 are being facsimile running for statement and a 1449 are being facsimile transmitted to the United States Patent and Trademark Office, to facsimile number (703) 872-9306, on Wednesday, November 03, 2004. Consisting of a Supplemental Information Disclosure Statement and Trademark Office, to facsimile number (703) 872-9306, on Wednesday, November 03, 2004. | | | | | | | | | |

The information contained in this transmission is intended for the sole use of the individual or entity to whom it is addressed. It may contain information that is privileged, confidential and/or exempt from disclosure under applicable law. If you are not the intended recipient, you are hereby notified that you are not authorized to review the following pages and that the dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by collect call and return this original transmission to us by mail at the above address.

RECEIVED CENTRAL FAX CENTER

NO. 7653 P. 2

NOV 0 3 2004

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d) Attuy. Docket No. 2003U002.US | | | | | | | |
|--|------------------|------------|----------------|--|--|--|--|
| In Re Application of: Kevin J. Cann et al. | | | | | | | |
| U.S. Serial No. | Filing Date | Examiner | Group Art Unit | | | | |
| 10/762,494 | January 22, 2004 | Unassigned | 1711 | | | | |

Address to:
Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR § 1.97(b)

 \boxtimes The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. 37 CFR § 1.97(e) П The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of: 1. a Final Action under § 1.113, 2. a Notice of Allowance under § 1.311, or 3. an action that otherwise closes prosecution in the application, and is accompanied by either: the fee as set forth in § 1.17(p), or the following statement under \S 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(d) The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith. Legible copies of the non-US references are enclosed. This application is a: ☐ Continuation / Divisional, ☐ Continuation-in-Part, _. Copies of the cited references are of U.S.S.N. enclosed; not enclosed. References are available in the parent application(s) if not enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to **Deposit Account Number 50-0589**. Kevin M. Faulkner Registration No. 45,427 Univation Technologies, L.L.C. 5555 San Felipe, Suite 1950 Houston, Texas 77056-2723 (713) 892-3729 Voice

(713) 892-3687 Facsimile

| FORM - 1449 | | | | | SHEET 1 OF 1 | | | | | |
|-------------------------------------|----|--------------------|-----------------|-------------------------------|-----------------------------|-----------------|----------------------------|------------|----------|--|
| | | | | ATTY. DOCKE | ATTY. DOCKET NO. SERJAL NO. | | | | | |
| | | | | 2003U002 | 2003U002.US | | | 10/762,494 | | |
| INFORMATION DISCLOSURE STATEMENT | | | | APPLICANT | | | | | | |
| STATEMENT | | | |] | Kevin J. Cann, et al. | | | | | |
| (Use several sheets if necessary) | | | | FILING DA | FILING DATE GROUP | | | | | |
| | | | | January 22, | January 22, 2004 | | | 1711 | | |
| | | | U.S. P. | ATENT DOCUMENTS | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | | |
| | AA | 6,107,236 | 08/22/2000 | Pecoraro, et al. | 502 | 233 | | • | | |
| | AB | ~~~~· | † | | | | | | | |
| | AC | | | | + | | | | | |
| | AD | - | | | | | | | | |
| | AE | | † | | | | | | | |
| | AF | | | | | | | - | | |
| | AG | | | · | | | | | | |
| | AH | | | | | | | | | |
| | AI | | | | | | | | | |
| | АJ | | | | | | | | | |
| | AK | - | | | | | | | | |
| | | | FOREIGN | PATENT DOCUMENTS | S | : | | | | |
| | | DOCUMENT | PUBL. | | | | TRANS | ANSLATION | | |
| | | NUMBER . | DATE | COUNTRY | CLASS | SUBCLASS | YES | 丁 | ÑO | |
| | AL | | | | | | | | | |
| | AM | | | | | | | | | |
| | AN | <u> </u> | | | | | á | <u></u> | | |
| | AO | | | | | | | <u> </u> | <u> </u> | |
| | оπ | HER DISCLOSUF | EŞ (Including A | Author, Title, Date, Pertine | ent Pages of I | Publication, Et | c.) | | | |
| | AP | ···· | | | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | | | | |
| *EXAMINER: | | | | ot citation is in conformance | | | though citation | on if (| nol in | |